

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicants : Graham P. Allaway et al.  
Serial No. : 09/888,938  
Filed : June 25, 2001  
For : METHOD FOR PREVENTING HIV-1 INFECTION OF CD4<sup>+</sup> CELLS

1185 Avenue of the Americas  
New York, New York 10036  
August 9, 2001

Assistant Commissioner for Patents  
Washington, D.C. 20231

SIR:

**INFORMATION DISCLOSURE STATEMENT**

In accordance with their duty of disclosure under 37 C.F.R. §1.56, applicants direct the Examiner's attention to the following references which are listed on the PTO-1449 form attached hereto as **Exhibit A**.

1. U.S. Patent No. 5,021,409, issued June 4, 1991, Barry A. Murrer and David A. Schwartz
2. U.S. Patent No. 5,126,433, issued June 6, 1992, Madden et al.
3. U.S. Patent No. 5,440,021, issued August 5, 1995, Anan Chuntharapai, et al.
4. U.S. Patent No. 5,504,003, issued April 2, 1996, Haodong Li, et al.
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8. Berger et al., (1999), "Chemokine Receptors as HIV-1 Coreceptors; Roles in Viral Entry, Tropism, and Disease" Ann. Rev. Immunol. 17: 657-700
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The subject application is a continuation of U.S. Serial No. 08/831,823, filed April 2, 1997. The above listed references 2, 6, 8, 17-20, 24, 31-33, 38, 42-43, and 46 were submitted to and considered by the United States Patent and Trademark Office in a Supplemental Information Disclosure Statement filed on January 19, 2001, in connection with U.S. Serial No. 08/831,823. The above listed references 11, 22, 36, 39-40, 45, and 51 were submitted to and considered by the United States Patent and

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Trademark Office in a Communication In Response to Final Office Action filed August 11, 2000 in connection with U.S. Serial No. 08/831,823. The above listed references 1 and 15-16 were submitted to and considered by the United States Patent and Trademark Office in a Supplemental Information Disclosure Statement filed on January 21, 2000, in connection with U.S. Serial No. 08/831,823. The above listed references 3-5, 7, 9-10, 12, 13, 21, 26-28, 30, 34-35, 37, 41, 44, 47-50 and 52 were submitted to and considered by the United States Patent and Trademark Office in a Information Disclosure Statement filed on December 21, 1998, in connection with U.S. Serial No. 08/831,823. The above listed references 14, 23, 25, and 29 were previously cited by the United States Patent and Trademark Office in an Office Action issued on December 21, 1998, in connection with U.S. Serial No. 08/831,823. Accordingly, under 37 C.F.R. §1.98(d) copies of these references are not required to be provided to the United States Patent and Trademark Office, since they were previously cited by, or submitted to, the United States Patent and Trademark Office in an application relied upon for an earlier filing date under 35 U.S.C. §120.

If a telephone interview would be of assistance in advancing prosecution of the subject application, applicants' undersigned attorneys invites the Examiner to telephone either of them at the number provided below.

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Pursuant to 37 C.F.R. §1.97(b) (3), no fee is deemed necessary in connection with the filing of this Information Disclosure Statement. However, if any fee is required authorization is hereby given to charge the amount of any such fee to Deposit Account No. 03-3125.

Respectfully submitted,



John P. White  
Registration No. 28,678  
Spencer H. Schneider  
Registration No. 45,923  
Attorneys for Applicant(s)  
Cooper & Dunham, LLP  
1185 Avenue of the Americas  
New York, New York 10036  
(212) 278-0400

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8-9-01

John P. White  
Reg. No. 28,678  
Spencer H. Schneider  
Reg. No. 45,923

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Atty. Docket No.

50875-DA/JPW/SHS/AAB

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PATENT EXAMINER  
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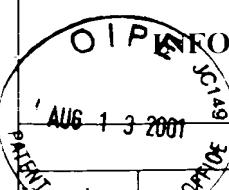
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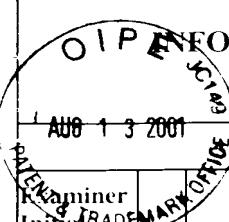
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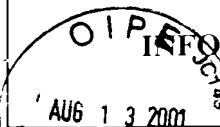
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| Form PTO-1449  |  | U.S. Department of Commerce<br>Patent and Trademark Office |  | Atty. Docket No.<br>50875-DA/ JPW/SHS/AAB | Serial No.<br>09/888,938 |
| <br><b>INFORMATION DISCLOSURE CITATION</b><br>(Use several sheets if necessary) |  |  |  | Applicants<br>Graham P. Allaway et al.    |                          |
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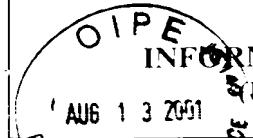
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U.S. Department of Commerce  
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Atty. Docket No.

50875-DA/JPW/SHS/AAB

Serial No.

09/888,938

Applicants

Graham P. Allaway et al.

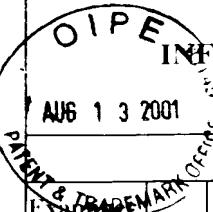
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## EXAMINER

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